

THE UNITED STATES PATENT AND TRADEMARK OFFICE

Serial No.: 10/084,866

Filing Date: February 28, 2002

Applicant: Epstein, et al.

Entitled: POLYMERS AND OLIGOMERS, THEIR SYNTHESIS, AND ELECTRONIC  
DEVICES INCORPORATING SAME

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

<p style="text-align: center;">CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8 (A)</p> <p style="text-align: right;">Date of Deposit: <i>Sept. 16, 2003</i></p> <p>I hereby certify that this correspondence is being deposited with the U.S. Postal Service with sufficient postage as first-class mail in an envelope addressed to Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.</p> <p><i>Kim B. Powers</i></p> <p>Kim B. Powers</p>
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Sir:

**SUPPLEMENTAL INFORMATION DISCLOSURE**  
**STATEMENT UNDER 37 CFR §§ 1.97-1.98**

As authorized and encouraged under 37 CFR §§ 1.97-1.98 and the provisions of MPEP §§ 609 and 707.05 (b), Applicant(s) submits herewith certain patent references, publications and/or other information which the Patent and Trademark Office may wish to consider in examining the above-identified patent application. The references and information are listed below and on attached form PTO-1449.

In accordance with 37 CFR Section 1.97(c)(2) and 1.97(e)(1), Applicant certifies that the items of information contained in the Supplemental Information Disclosure Statement was cited in a communication mailed February 25, 2003 from the PCT office in a counterpart foreign application and therefore no fee is required.

#### **U.S. PATENTS**

<b>U.S. PATENT NUMBER</b>	<b>INVENTOR(S)</b>
5,294,694	Epstein, et al.
6,207,301	Ohnishi, et al.
6,403,236	Ohnishi, et al.
6,445,126	Arai, et al.
6,495,273	Hwang, et al.

#### **FOREIGN PATENT DOCUMENTS**

<b>COUNTRY</b>	<b>PATENT NO.</b>	<b>INVENTOR(S)</b>
None		

#### **OTHER DOCUMENTS**

1. Z. Yang, I. Sokolik, and F. E. Karasz, "A Soluble Blue-Light Emitting Polymer", *Macromolecules* 1993, 26, 1188-1190,

A copy of each document is included for the express purpose of providing the Patent and Trademark Office with ample opportunity to evaluate the same and arrive at an independent assessment of the materiality of each, if any, to the examination of the above-identified application.

In reviewing the enclosed copies of the above documents, the Examiner is instructed to ignore any underscoring or highlighting which may have been done because such markings may or may not have any relationship to the subject matter of the above-identified application. The copies being submitted with this Information Disclosure Statement are the best copies available at this time.

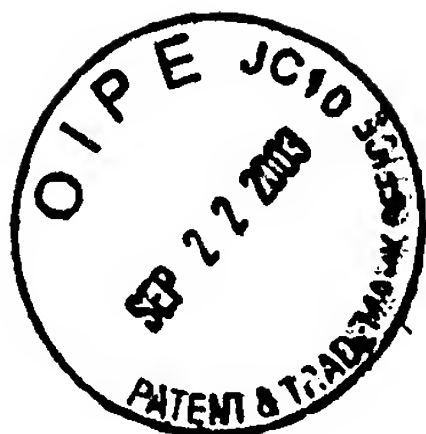
The identification of any document herein is not intended to be, and should not be understood as being, an admission that each such document, in fact, constitutes "prior art" within the meaning of applicable law.

Applicant(s) respectfully requests that the documents cited herein be made of record in the normal manner and that such documents appear on the printed patent as being considered and made of record.

Respectfully submitted,

Date: Sept. 16, 2003

By: Michael Stonebrook  
Michael Stonebrook  
Registration No.: 53,851  
Standley & Gilcrest LLP  
495 Metro Place South, Suite 210  
Dublin, Ohio 43017-5315  
Telephone: (614) 792-5555  
Facsimile: (614) 792-5536



**SUPPLEMENTAL FORM PTO-1449 TO BE FILED WITH  
INFORMATION DISCLOSURE STATEMENT**

U.S. Department of Commerce  
Patent and Trademark Office

Atty. Docket No. OSU 1159-147C

Serial No. 10/084,866

INFORMATION  
DISCLOSURE STATEMENT  
BY APPLICANTS

Epstein, et al.  
Applicant

February 28, 2002  
Filing Date

1714  
Group Art Unit

Examiner's name

**U.S. PATENT DOCUMENTS**

Examiner's Initial	Document Number	Date	Name	Class/Sub-class
	5,294,694	03/15/1994	Epstein, et al.	528/210
	6,207,301	03/27/2001	Ohnishi, et al.	428/690
	6,403,236	06/11/2002	Ohnishi, et al.	428/690
	6,445,126	09/03/2002	Arai, et al.	313/504
	6,495,273	12/17/2002	Hwang, et al.	428/690

**FOREIGN PATENT DOCUMENTS**

Examiner's Initial	Document Number	Date	Country/Name	Translation? yes/no
	NONE			

**OTHER DOCUMENTS**

1. Z. Yang, I. Sokolik, and F. E. Karasz, "A Soluble Blue-Light Emitting Polymer", *Macromolecules* 1993, 26, 1188-1190,

Examiner	Date Considered
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Examiner: Initial if citation considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

The identification of any document herein is not intended to be, and should not be understood as being, an admission that each such document, in fact, constitutes "prior art" within the meaning of applicable law since, for example, a given document may have a later effective date than at first seems apparent or the document may have an effective date which can be antedated. The "prior art" status of any document is a matter to be resolved during prosecution.

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